#### PATENT COOPERATION TREATY

#### From the INTERNATIONAL SEARCHING AUTHORITY

## CARPMABLS & RANSFORD Attn. Brunner, John Michael O 43-45 Bloomsbury Square 05 OCT 2009 CARPMAELS & RANSFORD

## PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION

London WC1A 2RA GRANDE BRETAGNE (PCT Rule 44.1) ACTIONED > Date of mailing (dav/month/vear) 05/10/2009 Applicant's or agent's file reference FOR FURTHER ACTION See paragraphs 1 and 4 below P050906WO International application No. International filing date (day/month/year) 10/06/2009 PCT/GB2009/001445 Applicant CILAG GMBH INTERNATIONAL

1. 🕱	The applicant is hereby notified that the International search report and the written opinion of the International Searching Authority have been established and are transmitted herewith.
	Filing of amendments and statement under Article 19: The applicant is entited, if he so withele, to amend the claims of the International Application (see Fluie 46): When? The time limit for filing such amendments is normally two months from the date of transmittal of the International Search Report.
	Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes 1211 Geneva 20, Switzerland, Fascimile No.: (41–22) 338.82.70
	For more detailed instructions, see the notes on the accompanying sheet.
2.	The applicant is hereby notified that no International search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.
3. 🗌	With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:
	the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.
	no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.
4. Ren	inders
Sho	tty after the expiration of 18 months from the priority date, the international application will be published by the

International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the International application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. These comments would also be made available to the public but not before the expiration of 30 months from the priority date.

Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filled if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.

In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months.

See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant's Guide, Volume II, National Chapters and the WIPO Internet site.

Name and mailing address of the International Searching Authority European Patent Office, P.B. 5818 Patentiaan 2



NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer

Elisabetta Sulis

These Notes are intended to give the basic instructions concerning the filling of amendments under article 19. The Notes are based on the requirements of the Petant Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty, In case of discrepancy between these Notes and those requirements, the latter are applicable, For more detailed information, see also the FCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative instructions, respectively.

#### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the dains of the international septication. It is found however be emphasized that, since all parts of the international principal search international principal search procedure, there is usually no need to the amendment of the claims under Ancia 19 search write, e.g. the search procedure, there is usually no need to the amendments of the claims under Ancia 19 search write, e.g. the search procedure is the search procedure in the search procedure is the search procedure. The search procedure is the search procedure is the search procedure in the search procedure is the search procedure in the search procedure is the search procedure in the search procedure is a search procedure in the search procedure is the search procedure is the search procedure in the search procedure is the search procedure is the search proc

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no International search report would be established (see *PCT Applicant's Guide*, Volume I/A, paragraph 296).

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Fulle 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

#### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

#### NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped),whether.

- (i) the claim is unchanged:
- (ii) the claim is cancelled:
- (iii) the claim is new:
- (iv) the claim replaces one or more claims as filed:
- (v) the claim is the result of the division of a claim as filed.

## The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]: "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged: new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims;
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new daims 15, 16 and 17 added." or "Claims 7 to 13 cancelled: new claims 15, 16 and 17 added; all other claims unchanged."
- [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

#### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given daim, contained in the international search report may be made only in connection with an amendment of that claim.

#### Consequence if a demand for international preliminary examination has already been filed

If, at the time of liling any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of liling the amendments (and any statement) with the international Burseu, also file with the international Furpeurinary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Pulse 55.3(a) and 62.2, first sentence). For whither information, so see the Notes to the demand form (FCT/FEPA401).

If a demand for International preliminary examination is made, the written opinion of the International Searching Authority will, except in cartain cases where the International Peleminary Examining Authority did not act as international Searching Authority and where it has notified the International Bureau under Rule 65. 154(b), be considered to be a written opinion of the International Periminary Examining Authority at deemand is made, the considered to be a written opinion of the International Periminary Examining Authority at International Examining Authority at International Examining Authority at Germand Cartain Cart

#### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filled.

For further details on the requirements of each designated/elected Office, see the PCT Applicant's Guide, Volume II.

## PATENT COOPERATION TREATY

## **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

ACTION   as well as, where applicable, item 5 below.	Applicant's or agent's file reference	FOR FURTHER		see Form PCT/ISA/220						
PCT/GB2009/001445				as, where applicable, Item 5 below.						
Applicant  CILAG GMBH INTERNATIONAL  This international search report has been prepared by this international Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.  This international search report consists of a total of	International application No.	International filing date (day/mont	vyear)	(Earliest) Priority Date (day/month/year)						
This international search report has been prepared by this international Searching Authority and is transmitted to the applicant according to Article 16. A copy is being transmitted to the empiricant according to Article 16. A copy is being transmitted to the international Bureau.  This international search report consists of a total of6 sheets.  It is also accompanied by a copy of each prior art document cited in this report.  1. Basis of the report  a. With regard to the language, the international search was carried out on the basis of:	PCT/GB2009/001445 10/06/2009 19/06/2008									
This international search report has been prepared by this international Searching Authority and is transmitted to the applicant according to Article 16. A copy is being transmitted to the international Bureau.  This international search report consists of a total of	Applicant									
according to Article 18. A copy is being trainshilled to the International Bureau.  This International search report consists of a total of	CILAG GMBH INTERNATIONAL	CILAG GMBH INTERNATIONAL								
It is also accompanied by a copy of each prior art document cited in this report.  1. Basis of the report  a. With regard to the inanguage, the international search was carried out on the basis of:				ority and is transmitted to the applicant						
a. With regard to the language, the international search was carried out on the basis of:		· · · · · · · · · · · · · · · · · · ·		report.						
the text is approved as submitted by the applicant the text has been established, according to Falue 93.2(b), by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority  6. With regard to the drawings, a. the figure of the drawings to be published with the abstract is Figure No5 as suggested by the applicant as selected by this Authority, because the applicant failed to suggest a figure as selected by this Authority, because this figure better characterizes the invention	1. Basis of the report a. With regard to the language, the international search was carried out on the basis of:    X									
the text has been established, according to Fule 38.2(b), by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority  6. With regard to the drawings, a. the figure of the drawings to be published with the abstract is Figure No5.  Xiii as suggested by the applicant as selected by this Authority, because the applicant failed to suggest a figure as selected by this Authority, because this figure better characterizes the invention	<ol><li>With regard to the abstract,</li></ol>			÷						
may, within one month from the date of mailing of this international search report, submit comments to this Authority  6. With regard to the drawings, a. the figure of the drawings to be published with the abstract is Figure No5  \[ \begin{array}{c} a		bmitted by the applicant								
a. the figure of the drawings to be published with the abstract is Figure No5_  \[ \begin{array}{l}  as suggested by the applicant \\  as selected by this Authority, because the applicant failed to suggest a figure \\  as selected by this Authority, because this figure better characterizes the invention \end{array}	X the text has been establis may, within one month fro	hed, according to Rule 38.2(b), by t m the date of mailing of this interna	his Authori tional sear	ty as it appears in Box No. IV. The applicant ch report, submit comments to this Authority						
as suggested by the applicant     as selected by this Authority, because the applicant failed to suggest a figure     as selected by this Authority, because this figure better characterizes the invention	6. With regard to the drawings,									
as selected by this Authority, because the applicant failed to suggest a figure as selected by this Authority, because this figure better characterizes the invention	a. the figure of the drawings to be p	ublished with the abstract is Figure	No. 5							
as selected by this Authority, because this figure better characterizes the invention	X as suggested by t	he applicant								
	_	as selected by this Authority, because the applicant failed to suggest a figure								
b none of the figures is to be published with the abstract		-	er characte	arizes the invention						
	b none of the figures is to be	e published with the abstract								

International application No.

PCT/GB2009/001445

Box No. IV Text of the abstract (Continuation of item 5 of the first sheet)

An injection device comprises a first-sub-assembly (110) comprising a chamber (112) for holding a fluid and a transfer assembly (116) moveably disposed within the chamber. The chamber comprises an exit aperture and an inner surface and the transfer assembly has an outer surface subtantially contact with the inner surface about its perimeter. The transfer assembly is adapted to transfer fluid into the chamber when the transfer assembly is moved within the chamber.

International application No PCT/GB2009/001445

Relevant to claim No.

A. CLASSIFICATION OF SUBJECT MATTER INV. A61M5/20

C. DOCUMENTS CONSIDERED TO BE RELEVANT

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system tollowed by classification symbols) A61M

Category\* | Citation of document, with indication, where appropriate, of the relevant passages

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical search terms used)

EPO-Internal

X	US 6 090 070 A (HAGER JOERG-CHRIS [DE]; GEBHART KURT [DE]; LOEWENIC [DE];) 18 July 2000 (2000-07-18) column 16, line 19 - column 19, 1 figures 1-9	1-7, 10-12,15 8-9, 13-14	
X ✓ Y	US 2001/037087 A1 (KNAUER PETER N 1 November 2001 (2001-11-01) paragraphs [0028] - [0038]; figur	/	1-12,15 8-9, 13-14
х .⁄ ү .	US 2006/178642 Al (GILLESPIE RICH [US]; CROW DOUG 0 [US]) 10 August 2006 (2006-08-10) paragraph [0035] - paragraph [005 figures 1-3	1-7, 10-12 13-14	
* Special of A docume consider a	In defining the general state of the art which is not ered to be of particular netwrance coursent but published on or after the international in which may throw doubts on pricting (cample) or so cleet to establish the publication dairs of another or other special resonal (see specifical) in teletring to an ord disclosure, use, exhables or in published prior to international filting date but	To beer observed substance after the inter- orportery date and not in conflict with comproving date and not in conflict with comproving date and not in conflict with clard to undesstand the principate or th membra.  "X" document of periticular relevance; the o caread be considered in one of complete "Y" document of sparlicular relevance; the o caread be considered to involve an in document to combine with one or or in document to combine with one or or the conflict of the combine of the same petiont "X" document member of the same petiont Date of mailing of the international see	the application but bory underlying the stairned invention be considered to current is taken alone stairned invention and remains the purchase part of the control of the stairned invention to the control of the control of the stairned invention and the stairned in
28	3 September 2009	05/10/2009	
Name and m	neiling address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Hijswijk Tet (+311-70) 340-3016 Fox (+311-70) 340-3016	Authorized officer  Björklund, Andrea	s

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International application No PCT/GB2009/001445

C(Continus	ation). DOCUMENTS CONSIDERED TO BE RELEVANT	1017 0020037 001443
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X 🗸	US 2006/184137 A1 (REYNOLDS DAVID L [CA]) 17 August 2006 (2006-08-17) figures 28-32	1-8, 10-11,15
x 🟑	WO 2007/122193 AI (NOVO MORDISK AS [DK]; STROEM HANSEN TORBEN [DK]; SOERENSEN CARSTEN [DK) 1 November 2007 (2007-11-01) page 7, line 26 - page 8, line 18; figures 3A-C	1-10, 14-15
· <	FR 2 654 938 A1 (GLAXO GROUP LTD [GB]) 31 May 1991 (1991-05-31) page 19, line 22 - page 20, line 17; figures 1-24	1-14
( <b>/</b>	WO 2007/036676 A1 (CILAG GMBH INT [CH]; HABESHAW ROSIE LOUISE [GB]; JOHNSTON DAVID MAXWEL) 5 April 2007 (2007-04-05) page 8, line 8 - page 13, line 2; figures 1-8	13
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information on patent family members

International application No PCT/GB2009/001445

	PC1/GB2009/001445				2009/001445	
Patent document cited in search report		Publication . date	,	Patent family member(s)	-	Publication date
US 6090070	A	18-07-2000	AU BR CA CZ DE	1266397 9700930 2197395 9700415 19705189	A A1 A3	20-08-1998 08-12-1998 12-08-1998 12-08-1998 13-08-1998
	*		EP HU JP NO NZ ZA	0857491 9700427 10225516 970606 314211 9701138	A1 A2 A A	12-08-1998 28-06-1999 25-08-1998 11-08-1998 28-01-1999 28-10-1998
US 2001037087	A1	01-11-2001	NONE			-
US 2006178642	A1	10-08-2006	NONE			
US 2006184137	A1	17-08-2006	AU CA WO EP JP NZ	2004206779 2513165 2004064706 1592381 2006515201 541530	A1 A2 A2 T	05-08-2004 05-08-2004 05-08-2004 09-11-2005 25-05-2006 29-06-2007
WO 2007122193	A1	01-11-2007	CN EP US	101426543 2012853 2009105685	A1	06-05-2009 14-01-2009 23-04-2009
FR 2654938	A1	31-05-1991	AR AU AU BE BR CA CH CZ DE DK ES FI GB GR HK HU IE	246185 400925 639955 6691290 1003835 9006000 2030742 687234 9702022 4037418 281990 2038088 905832 2239188 90100824 19295 61207 904244 96487	B B 2 A 5 A 1 A 5 A 3 A A A A A A A A A A A A A A A A	29-07-1994 25-04-1996 12-08-1993 06-08-1991 23-06-1991 23-06-1991 29-05-1991 31-10-1996 11-08-1999 29-05-1991 29-05-1991 26-06-1991 17-04-1992 17-02-1995 28-12-1992 28-12-1992
FR 2654938	A1		IN IT JP JP LU NL NO NZ PL PT SE	179332 1243541 3222962 3399524 87851 9002598 905125 236219 287996 96005 469262	B A B2 A1 A A A1 A	27-09-1997 16-06-1994 01-10-1991 21-04-2003 25-08-1992 17-06-1991 29-05-1991 23-12-1992 12-08-1991 31-08-1992 14-06-1993

nformation on patent family members

International application No PCT/GB2009/001445

Patent document cited in search report		Publication date	0	Patent family .member(s)		Publication date
			SE	9003776	A	29-05-1991
			RU	2108116	C1	10-04-1998
			US	5137516	Α	11-08-1992
			ZA	9009514	Α	27-11-1991
WO 2007036676	A1	05-04-2007	AU	2005336826	A1	05-04-2007
			BR	PI0520572	A2	19-05-2009
			CA	2623962	A1	05-04-2007
			CN	101346157	Α	14-01-2009
			EA	200800939	A1	29-08-2008
			EP	1928523	A1	11-06-2008
			JP	2009509605	T	12-03-2009

#### PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY To: PCT WRITTEN OPINION OF THE see form PCT//SA/220 INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing (day/month/year) see form PCT/ISA/210 (second sheet) Applicant's or agent's file reference FOR FURTHER ACTION see form PCT/ISA/220 See paragraph 2 below International application No. International filing date (day/month/year) Priority date (day/month/year) PCT/GB2009/001445 10.06.2009 19.06.2008 International Patent Classification (IPC) or both national classification and IPC INV. A61M5/20 Applicant CILAG GMBH INTERNATIONAL This opinion contains indications relating to the following items: Box No. I Basis of the opinion □ Box No. II Priority ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. IV Lack of unity of invention Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement ☐ Box No. VI Certain documents cited ☐ Box No. VII Certain defects in the international application ☐ Box No. VIII Certain observations on the international application **FURTHER ACTION** If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notifed the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA:

European Patent Office D-80298 Munich

Tel. +49 89 2399 - 0 Fax: +49 89 2399 - 4465

Date of completion of this opinion

PCT/ISA/210

Authorized Officer

Biörklund, Andreas

Telephone No. +49 89 2399-7310

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/GB2009/001445

Во	x N	p. I Basis of the opinion
1. W	ith re	gard to the language, this opinion has been established on the basis of:
$\boxtimes$	th	e international application in the language in which it was filed
	a :	ranslation of the international application into , which is the language of a translation furnished for the rooses of international search (Rules 12.3(a) and 23.1 (b)).
2. 🗆	Th by	is opinion has been established taking into account the rectification of an obvious mistake authorized or notified to this Authority under Rule 91 (Rule 43bis.1(a))
3. W	ith re	gard to any nucleotide and/or amino acid sequence disclosed in the international application and ary to the claimed invention, this opinion has been established on the basis of:
a.	type	of material:
		a sequence listing
		table(s) related to the sequence listing
b.	form	at of material:
		on paper
		in electronic form
c.	time	of filing/furnishing:
		contained in the international application as filed.
		filed together with the international application in electronic form.
		furnished subsequently to this Authority for the purposes of search.
4. 🗆	ha co	addition, in the case that more than one version or copy of a sequence listing and/or table relating therets s been filed or furnished, the required statements that the information in the subsequent or additional pies is identical to that in the application as filed or does not go beyond the application as filed, as propriate, were furnished.

5. Additional comments:

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

#### 1. Statement

Novelty (N) Yes: Claims

No: Claims 1-15

Inventive step (IS) Yes: Claims

No: Claims 1-15

Industrial applicability (IA) Yes: Claims 1-15

No: Claims

### 2. Citations and explanations

see separate sheet

#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. Reference is made to the following documents:
- D1 US 6 090 070 A (HAGER JOERG-CHRISTIAN [DE]; GEBHART KURT [DE]; LOEWENICH HELMUT [DE];) 18 July 2000 (2000-07-18)
- D2 US 2001/037087 A1 (KNAUER PETER M [US]) 1 November 2001 (2001-11-01)
- D3 US 2006/178642 A1 (GILLESPIE RICHARD D [US]; CROW DOUG O [US]) 10 August 2006 (2006-08-10)
- D4 US 2006/184137 A1 (REYNOLDS DAVID L [CA]) 17 August 2006 (2006-08-17)
- D5 WO 2007/122193 A1 (NOVO NORDISK AS [DK]; STROEM HANSEN TORBEN [DK]; SOERENSEN CARSTEN [DK] 1 November 2007 (2007-11-01)
- D6 FR 2 654 938 A1 (GLAXO GROUP LTD [GBI) 31 May 1991 (1991-05-31)
- D7 WO 2007/036676 A1 (CILAG GMBH INT [CH]; HABESHAW ROSIE LOUISE [GB]; JOHNSTON DAVID MAXWEL) 5 April 2007 (2007-04-05)
- 2. The present application does not meet the criteria of Article 33(1) PCT, because the subject matter of claims 1-15 is not new in the sense of Article 33(2) PCT.
- 2.1. The document D1 discloses (the references in parentheses applying to this document):

An injection device (figs. 1-9, ) comprising:

- a first sub-assembly (24) comprising:
- a chamber (13) for holding a fluid, said chamber comprising an exit aperture and an inner surface; and
- a transfer assembly (16, 47) movably disposed within the chamber and having an outer surface substantially in contact with the inner surface about its perimeter, said transfer assembly being adapted to transfer fluid into the chamber when the transfer assembly is moved within the chamber (col. 17, lines 10-25).

PCT/GB2009/001445

The subject-matter of claim 1 is therefore not new (Article 33(2) PCT).

- 2.2. Also the documents D2-D6 (see references in search report) disclose the subject-matter of claim 1. It is noted that the wording of claim 1 is so broad that also a regular syringe deprives the subject-matter of claim 1 of novelty (Article 33(2) PCT) since the piston and piston rod can be regarded as the transfer assembly.
- 2.3. The documents D1-D2, D4-D5 (see references in search report) disclose the subject-matter of claim 15 (Article 33(2) PCT).
- 2.4. The subject-matter of claim 1 is not clear (Article 6 PCT) since the last lines of the transfer assembly are defined by a result to be achieved, i.e.. "adapted to transfer fluid into the chamber". However, in the present application it would have been possible to define the technical features necessary for achieving this result (PCT Guidelines 5.35).
- 2.5. The scope of claim 1 is much broader than what is actually justified by the disclosure of the application (Article 6 PCT and PCT guidelines 5.43). The application discloses only one embodiment of the injection device, namely an auto-injector device, whereas the claims encompass any injection device. That the scope of the claims is much broader than what is justified is underlined by the description which describes that the problem to be solved is to overcome complicated and expensive manufacturing process of auto-injectors.
- 3. Dependent claims 2-14 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty and/or inventive step, since they merely define trivial design options for injection devices which are known in the art, see documents D1-D7 and the corresponding passages cited in the search report.
- 4. Claim 1 is not drafted in the two-part form (Rule 6.3(b) PCT) and none of the claims are provided with reference signs (Rule 6.2(b) PCT).
- 5. Documents D1-D2 are not mentioned in the description (Rule 5.1(a)(ii) PCT).

Possible steps after receipt of the international search report (ISR) and written opinion of the International Searching Authority (WO-ISA)

#### General information

For all international applications filed on or after 01/01/2004 the competent ISA will establish an ISR. It is accompanied by the WO-ISA. Unlike the former written opinion of the IPEA (Rule 66.2 PCT), the WO-ISA is not meant to be responded to, but to be taken into consideration for further procedural steps. This document explains about the possibilities.

## under Art. 19 PCT

Amending claims Within 2 months after the date of mailing of the ISR and the WO-ISA the applicant may file amended claims under Art. 19 PCT directly with the International Bureau of WIPO. The PCT reform of 2004 did not change this procedure. For further information please see Rule 46 PCT as well as form PCT/ISA/220 and the corresponding Notes to form PCT/ISA/220.

#### Filing a demand for international preliminary examination

In principle, the WO-ISA will be considered as the written opinion of the IPEA. This should, in many cases, make it unnecessary to file a demand for international preliminary examination. If the applicant nevertheless wishes to file a demand this must be done before expiry of 3 months after the date of mailing of the ISR/WO-ISA or 22 months after priority date, whichever expires later (Rule 54bis PCT). Amendments under Art. 34 PCT can be filed with the IPEA as before, normally at the same time as filing the demand (Rule 66.1 (b) PCT).

If a demand for international preliminary examination is filed and no comments/amendments have been received the WO-LSA will be transformed by the IPEA into an IPRP (International Preliminary Report on Patentability) which would merely reflect the content of the WO-ISA. The demand can still be withdrawn (Art. 37 PCT).

#### Filing informal comments

After receipt of the ISR/WO-ISA the applicant may file informal comments on the WO-ISA directly with the International Bureau of WIPO. These will be communicated to the designated Offices together with the IPRP (International Preliminary Report on Patentability) at 30 months from the priority date. Please also refer to the next box.

#### End of the international phase

At the end of the international phase the International Bureau of WIPO will transform the WO-ISA or, if a demand was filed, the written opinion of the IPEA into the IPRP, which will then be transmitted together with possible informal comments to the designated Offices. The IPRP replaces the former IPER (international preliminary examination report).

#### Relevant PCT Rules and more information

Rule 43 PCT, Rule 43bis PCT, Rule 44 PCT, Rule 44bis PCT, PCT Newsletter 12/2003, QJ 11/2003, QJ 12/2003